



## QUESTIONNAIRE FOR THE INVESTOR-PRIVATE INVESTORS PDA FUND

### I. GENERAL INFORMATION

#### a. BASIC DATA (please provide information that you will use to verify your identity, passport or identity card)

Name and surname: \_\_\_\_\_

Place of birth: \_\_\_\_\_ Date of birth: \_\_\_\_\_

State of birth: \_\_\_\_\_ Citizenship: \_\_\_\_\_

#### b. IDENTIFICATION

PIN/or other ID number: \_\_\_\_\_

Type and number of ID document: \_\_\_\_\_

Country of issuance of document: \_\_\_\_\_

Date of expiration of document: \_\_\_\_\_ Date of issuance of document: \_\_\_\_\_

#### c. ADDRESS (provide residence data from the document you will use for verification. If your residence and domicile are different addresses, please all in your domicile as well. One of these two addresses must be present at the bank statement you will use to confirm your domicile/residence.)

##### 1. Domicile

Street and house number: \_\_\_\_\_ City: \_\_\_\_\_

Postal number: \_\_\_\_\_ State: \_\_\_\_\_

Domicile and residence are at the same address:  YES  NO

##### 2. Residence (If domicile and residence are at the same address, the following fields can be left blank)

Street and house number: \_\_\_\_\_ City: \_\_\_\_\_

Postal number: \_\_\_\_\_ State: \_\_\_\_\_

**d. BANK DATA:** (please provide information from the bank statement that you will submit as proof of residence. Payment of funds to the Fund's account will be possible only from this bank account.)

Bank Name:

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IBAN:

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Swift/Bic:

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**e. COMMUNICATION DATA:** (the data provided here will be used to communicate with the investor)

Mobile/phone number:

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E-mail:

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Preferred method of communication/reporting:  Email  Post

## II. DATA ON PLANNED INVESTMENT/INTENDED BUSINESS ACTIVITY

### a. ADDITIONAL INVESTOR INFORMATION

#### 1. Investment goal data

What are your investment goals and purpose of investment? (it is possible to select multiple data)

a) Long-term appreciation	
b) Storage of value	
c) Diversification of investment	
d) Short-term return	

How do you intend to invest?

a) Money	
b) Assets that are investment strategy	

Do you intend to keep shares more than 2 years?  YES  NO

#### 2. Financial situation of investor

What is the estimation of total net value of your assets? (money, securities, other assets, real estate)

a) less than 265.440,00 EUR	
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b) 265.440,00 - 500.000,00 EUR	
c) more than 500.000,00 EUR	

What is your planned investment?

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Are you employed?  YES  NO

What is your annual income?

a) less than 66.360,00 EUR	
b) more than 66.360,00 EUR	

Do you plan to hold shares for more than 2 years:  YES  NO

What is the source of fund of your investment?

a) Money at account	
b) Investment activities	
c) Savings	
d) Other	

If the answer at the previous question is d) Other, please provide a description:

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What is the source of your funds/assets that will be invested?

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### 3. Data on knowledge and experience

Do you, or did you work in the Financial sector, and for how long, at professional jobs that require knowledge of investments that can be compared to planned investments, or did your operations include purchase or management of funds similar to the strategy of your planned investment:

a) more than 1 year	
b) less than 1 year	
c) I was not involved in similar transactions	

Have you traded for your own account or for the account of others in such a way that you have acquired, disposed of or managed assets of the same type as the assets of the alternative investment fund in which you plan to invest?  YES  NO

Are you aware that the investment in alternative investment funds represents a high-risk investment?: ?  YES  NO

**Please choose the correct answers to the following questions:**

**BITCOIN IS::**

a) electronic stock	
b) cryptocurrency	
c) exchange for money	

**WHAT IS A BITCOIN WALLET:**

a) wallet	
b) digital wallet for storing cryptocurrencies	
c) Btc mining resources	

**BITCOIN VOLATILITY IS:**

a) low	
b) medium	
c) high	

**III. DATA ON POLITICALLY EXPOSED PERSONS (PEP)**

Pursuant to Article 46 of the Act, a politically exposed person is any natural person who acts or has acted in a prominent public office in the past 12 months, including members of his immediate family and persons known to be close associates of a politically exposed person.

For the purposes of the Act, family members of a politically exposed person are considered to be natural persons who are:

1. a spouse or a person with whom a politically exposed person is in an extramarital union and a person with whom a politically exposed person is in a life partnership or a politically exposed person.
2. children and their spouses or persons with whom children are politically exposed persons in an extramarital union and persons with whom children are politically exposed persons in a life partnership or persons with whom children are politically exposed persons in an informal life partnership, or
3. parents of a politically exposed person.

Within the meaning of the Act, close natural persons are considered to be any natural person:

1. which is known to have joint beneficial ownership of a legal person or legal arrangement or any other close business relationship with a politically exposed person,  
or
2. who is the sole beneficial owner of a legal person or legal arrangement known to have been established for the benefit of a politically exposed person.

Are you a politically exposed person (PEP) acting or having acted in a prominent public office in the past year, including immediate family members or close associates?:

YES

NO

DESCRIPTION OF THE TYPE OF POLITICAL EXPOSURE (Politically exposed person / Family member / Close associate):

RELATIONSHIP DETAILS (for family members/close associates):

DATA ON THE TYPE OF PUBLIC FUNCTION:

## IV. TAX STATUS INFORMATION AND INFORMATION REGARDING THE APPLICATION OF THE FATCA AND CRS REGULATIONS

For the purpose of defining whether you are a taxpayer in accordance with FATCA, please answer the following questions:

Do you have US citizenship?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Do you have a „green card“?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Are you a US taxpayer?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Are you a taxpayer in Croatia?	<input type="checkbox"/> YES	<input type="checkbox"/> NO

If NO, state the country and the tax code:

Country where you are a taxpayer:

Tax number (TIN/SSN):

### Notes:

The **FATCA** (Foreign Account Tax Compliance Act) is an agreement between the U.S. Government and other Governments (FATCS Partners) that entered into force on December 27, 2016 to improve international tax compliance and FATCA enforcement.

The Republic of Croatia has transposed the Directive into law in accordance with the provisions of the Administrative Cooperation in the Field of Taxes Act (OJ 115/16, 130/17).

The Common Reporting Standard (CRS) is an integral part of Council Directive 2014/107 / EU of 9 December 2014 amending Directive 2017/16 / EU as regards the mandatory automatic exchange of information in the field of taxation, and is a common standard for the automatic exchange of financial information. accounts for the purpose of taxation of financial assets related to another state with which the Republic of Croatia has concluded an agreement on automatic data exchange. In the Republic of Croatia, the automatic exchange of information on financial accounts is regulated by the Administrative Cooperation in the Field of Taxes Act (OJ 115/16, 130/17)

## V. INVESTOR STATEMENTS

By signing this Questionnaire, the applicant declares:

- By signing this Questionnaire, the signatory declares that the data for the purposes of taxation and tax residency filled in this Questionnaire are complete and accurate.
- I give my unequivocal, irrevocable and explicit consent to the Company to take all actions related to the processing and exchange of my personal data stated in this Questionnaire, including data on the identification number of the legal entity / citizens and PIN, as well as the data of the legal entity / Client, which represents the right of the Company to collect, store, record, organize, inspect and transfer personal data for the purpose of performing regular activities of the Company.
- I confirm that all data stated in the Questionnaire are true and I authorize the Company to check all data stated here, and I declare that we will notify the Company of any change in data within 30 days of the change, with the delivery of a credible document proving the change. The Company may refuse to establish a business relationship or terminate a business relationship with a client in the event that the client's legal representative refuses to provide or deny information that is mandatory or disclose such inaccurate information.
- I declare that I agree that the Company may store the data from this form in its databases, that it may exchange them with its contractual partners, and companies that are proprietary to the Company.
- The investor is aware of the right to information and the obligation of the Company to inform him in a timely and accurate manner about the facts prescribed by the Fund Rules.
- The funds for the investment have not been obtained, in whole or in part, illegally in the country or abroad and will not be used for the purpose of money laundering and terrorist financing.
- Ulagatelj The Investor has voluntarily provided contact information and consents to the Company's use of it in order to fulfill its notification obligations in the fastest and easiest way, as well as to provide any other information and information on rights and obligations, unless expressly prescribed or agreed by compulsory regulations, otherwise and submit the information / documents whose delivery the Applicant requests.
- The investor is familiar with the manner in which the Company processes data in accordance with the Ordinance on data protection of the Company.

## VI. LEGAL BASIS AND OBLIGATIONS OF THE MANAGEMENT COMPANY

- With establishing a business relationship and receiving investment in an alternative investment fund under management, White Bridge Asset Management d.o.o. (hereinafter: the Company) is obliged to collect and process the data of the investor as well as the data on the source of the invested funds.
- The Company collects and processes data on the basis of the Alternative Investment Funds Act (OJ 21/2018, 126/2019, 110/21) and its related Ordinances, the Act on the Prevention of Money Laundering and Terrorist Financing (OJ 108/2017, 30/2019) and its implementing regulations, the General Tax Law and/or other tax regulations and the Company's reporting obligations to the competent tax authority in accordance with the Agreement between the USA and the Republic of Croatia on improving tax compliance at the international level and implementing FATCA and Directive 2014/107 / EU on amending Directive 2011/16/EU as regards the mandatory automatic exchange of information in the field of taxation (Directive 2014/107EU or CRS).
- The collected data is used to establish a business relationship, actions related to the issuance and redemption of shares, in-depth analysis of investors and fulfillment of other obligations arising from the above regulations.
- Data on investors during transactions are shared with the depositary, one of whose tasks is to ensure the issuance, redemption and payment of the fund's shares in accordance with laws, regulations adopted on the basis of law and other applicable regulations and documents of the fund.
- The period of storage of personal data is prescribed by the above laws.

- The Company uses the data in accordance with the Ordinance on Personal Data Protection (provided at request or can be found on the Company's website).
- The Company reserves the right to seek further clarification from a potential investor.
- The Investor may at any time request access to his data and correction of inaccurate data in writing or in person at the Company's headquarters.
- The data collected on the basis of the above-mentioned laws and their implementing regulations are mandatory in order for the Company to be able to fulfill its legal and regulatory obligations and establish a business relationship with the investor.
- If the investor does not wish to disclose information that is mandatory or discloses such inaccurate information, the Company may refuse to establish a business relationship with the investor.
- In the event that certain data are processed for a particular purpose with the consent of the investor, the investor may withdraw from the given consent and notify the Company in writing or in person at the Company's headquarters.
- Contact of the processing manager: zastita.podataka@whitebridge-am.com

## VII. APPENDICES

If the identification of the investor is performed without the direct arrival of the investor at the Company's premises, in addition to this questionnaire, the Company also submits to the investor Instructions for the submission of the completed documentation and attachments for a natural person.

Submission of these attachments is mandatory and without them it is not possible to establish a business relationship.

### A. Proof of identity

1. ID card – front and back or Passport – first two pages
2. Video (only in case if the Company asks you to provide it)

### B. Proof of residence

Bank statement showing that the planned investor is the owner of the accounts listed under the Basic Data section Note: payment of funds to the Fund's account will be possible only from this bank account

### C. Property investment

If the investment is planned to be made by entering the assets that are the fund's investment strategy and if it is approved by the Fund's Rules and the Law on Alternative Investment Funds and related Regulations, the Company will provide the investor with more detailed information needed for the investment.

**By signing this questionnaire, the investor hereby confirms that:**

1. The above information is accurate and complete and that it will notify the Company of any change in the information within 30 days from the date of the change in the Questionnaire with the submission of an authentic document proving the change;
2. He has given consent for the marked data for the purpose of simpler communication;
3. That he is familiar with the manner of data processing according to the Ordinance on data protection of the Company.

Date and place:

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Signature of the investor/responsible person:

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**TO BE FILLED BY THE COMPANY OFFICIAL**

Name and surname of the employee:

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Date and place:

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Signature of the employee:

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Comment:

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